

The Scientific and Technical Information Center (STIC) experienced a problem when processing the following CRF diskette:
Application Serial Number: $08/530$, $1/2$ Filing Date: $2/0/95$
Classification: 236
Date Reviewed by STIC: $\frac{10/23/96}{2}$
Point-of-Contact / Telephone No: <u>Anne-Marie Corrigan</u>
703-308-4222
Nature of Problem:
The CRF diskette was: Damaged Unreadable Blank (no files present on the floppy disk)
A computer virus was detected on the diskette. The STIC will not process the diskette through the Data Capture System.
Name of the virus:
The CRF diskette contains an error that disrupts normal processing, as explained below:
☐ The Sequence Listing was not converted into ASCII (DOS) text
See attached pages for clarification>
Other: see attracted

(2) INFORMATION FOR SEQ ID 1 (i) SEQUENCE CHARACTERISTIC (3EQ ID NO: 1 delete) (A) LENGTH: 704 (6) TYPE: nucleic acid (c) STRANDEONESS;
(d) TOPOLOGY: linear (vii) In mediate Source: mardedory response for nucleic aids SEQUENCE DESCRIPTION: SEQ ID NO: 1! GATCTTCAAA CAAGCATCAG CGTTTTCCAG GGCTTCCCAG AGGTCTGTGC GACTAGCCCG TGTCTATCAA AAGTTATTAG AGAGGATGAA GCATTAGCTT GAAGCACTAC AGGAGGAATG 120 CACCACGGCA GCTCTCCGCC AATTTCTCTC AGATTTCCAC AGAGACTGTT TGAATGTTTT 180 CAAAACCAAG TATCACACTT TAATGTACAT GGGCCGCACC ATAATGAGAT GTGAGCCTTG 240 TGCATGTGGG GGAGGAGGGA GAGAGATGTA CTTTTTAAAT CATGTTCCCC CTAAACATGG 300 CTGTTAACCC ACTTGCATGC AGAAACTTGG GATGTCACTT GCCTGACATT CACTTTCCAG 360 GAGAGGACCC TATCCCCAAA TGTGGAATTG ACTTGCCTAT GGCCAAGGTC CCTTGGNAAA 420 GGGAGCTTCA GTATTTGTGG GGGCNTCATA AAACCATGGN TTCAAGNCAA TCCAGCCTCA 480 TNGGGNNGGT CCTGGGNACA GTTTTTTGGT AAAGGCCCTT GGCCCAGNTG GGGGGAATGG 540 GCCTCCTTTT TAAGNTTTGG GNTGGAATNG TCTNGCAAAT TGGGGCTCCC ATTTCNCGGG 600 GGTTTGGGGG TTTTTTNGGG CCTTNCCNGG NNGGAAGGGN TGGGTTTGGG GGNTNGGTTN 660 CCNTTGGGNG GGCCTGGGGN TTTGATTTNA CCCGGGNCTT NGGN use above headings SEO ID NO:2 LENGTH: 659 TYPE:nucleic acid TOPOLOGY: linear CLONE: HUMGS00002 SEQUENCE DESCRIPTION: GATCTTTAAA ATACACACTC AAATCAAGAA ACTTAAGGTT ACCTTTNTTC CCAAATTTCA TACCTATCAT CTTAAGTAGG GACTTCTGTC TTCACAACAN ATTATNACCT TACAGAAGTT 120 TGAATTATCC GGTCGGGTTT TATTGTTTAA AATCATTTCT GCATCAGCTG CTGAAACAAC 180 AAATAGGAAT TGTTTTTATG GAGGCTTTGC ATAGATTCCC TGAGCAGGAT TTTAATCTTT 240 TNCTAACTGG ACTGGTTCAA ATGTTGTNCT CTTCTTTAAA GGGATGGCAA GATGTGGGCA 300 GTGATGTCAC TTAGGGCAGG GACAGGATAA GAGGGNTTAG GGAGAGAAGA TAGCAGGGCA 360 TGGCTGGGAA CCCAAGTCCA AGCATACCAA CACGGAGCAG GCTACTGTCA AGCTCCCCTC 420 GGAGGCGGNG CTGGTTCACA GCCAGCTGGC ACCAGNTTTT NTNGNGGAAG NCTTTTTCAA 480 ACAGTCTCAG GNAATCCAAT NTGCAAAGAC TTGCTTTNAG NAAAACCCAG NAGTTGAAAG 540 GCTCCCAAGN ATTTTAAGGG NACTTNCCAA AACGGGGCCC CNGGNNCCTT TTGGGTTTNG 600 GGGNTCAAAA CCCCGGAGGG GTTTGGGAAG NTTTTAATTG GNTTTAAAAN ATNNNTNTN SEO ID NO:3 LENGTH: 625 TYPE: nucleic acid TOPOLOGY: linear CLONE: HUMGS00003 SEQUENCE DESCRIPTION: GATCTAACTG GGTACCTGAG ATATTTNACA GCTGGACCTA GTTTCACAAT CTGTTGTCTC CAGCTCTGCA TATGTCTGGC CAGGGGGCTT CTAGGAAGTA GGTTTCATCT ATCAAATGTC 120 TCCTCTGACT TCCTTTTGAA ACTTACTGCT CTTCTGTTTT ATTTTGTTTT GTTTGAAGCT 180 CAGAGGGAGA TGGGCAATTG ACAGGGATGC AATCCAGGGT GGGATTTCTT GAGGAAGTTA 240 CAAATAAGCT TGTTACAACA TCAAGATAGA TGGAATTGGA AGGATGCTAC CAGGAGAGTA 300 CTTACATAGT GCTCAGGAGT TTCTCTTCTT AAAATGTTTA CTGCTGAAAG ATGAGCAGGA 360 CCAGGGCGTT ATAGGCAGAG CCCTAGCCGA GAAACCTGCT GGCCTCTGCC TGTTTTCATT 420 TCCCACTTTT GGTTGTTGTG GCATTACTTT CAGAATTTGC ACTTTCCTGC TTGTCATGAC 480 TTTTTTGGCA CACTTGCCAT GACGGGTGTT TCTGNGAACC ATGGAAGTTT TGCGGTAGTG 540 CCTCCAGGGG CAGGGGGNAA GGAGGNGGTG TANCTGCATT TNGTNCAAAT AAATCCNGCC 600 TATTGTTAAT NAACCAGTCT TTTGN 625 SEQ ID NO:4

PLEASE FOLLOW ATTACHED SAMPLE SEQUENCE LISTING and consider purchasing Checker Software (statement affoclid)

The Art & Annual Control of the Control

- (3) Computer: Apple Macintosh;
- (i) Operating System: MacIntosh;
- (ii) Macintosh File Type: t xt with line termination
- (iii) Line Terminator: Pre-defined by text type file:
- (iv) Pagination: Pre-defined by text type file;
- (v) End-of-file: Pre-defined by text type file;
- (vi) Media: (A) Diskett-3.50 inch, 400 Kb storage;
 - (B) Diskette—3.50 inch, 800 Kb
- st rage;
- (C) Diskette-3.50 inch, 1.4 Mb st rage:
- (vii) Print Command: Use PRINT command from any Macintosh Application that processes text files, such as MacWrite or Teach Text;
- (4) Magnetic tape: 0.5 inch, up to 2400 feet:
- (i) Density: 1600 or 6250 bits per inch. 9 track;
 - (ii) Format: raw, unblocked;
- (iii) Line Terminator: ASCII Carriage
- Return plus optional ASCII Line Feed; (iv) Pagination: ASCII Form Feed or Series of Line Terminators;
- (v) Print Command (Unix shell version given here as sample response—mt/ dev/rmt0; lpr/dev/rmt0):
- (g) Computer readable forms that are submitted to the Office will not be returned to the applicant.
- (h) All computer readable forms shall have a label permanently affixed thereto on which has been hand printed or typed, a description of the format of the computer readable form as well as the name of the applicant, the title of the invention, the date on which the data were recorded on the computer readable form and the name and type of computer and operating system which generated the files on the computer readable form. If all of this information cannot be printed on a label affixed to the computer readable form, by reason of size or otherwise, the label shall include the name of the applicant and the title of the invention and a reference number, and the additional information may be provided on a container for the computer readable form with the name of the applicant, the title of the invention, the reference number and the additional information affixed to the container. If the computer readable form is submitted after the date of filing

und r 35 U.S.C. 111, after the date of ntry in the national stage under 35 U.S.C. 371 or after the time of filing, in the United States Receiving Office, an international application under the PCT, the labels mentioned herein must also include the date of the application and the application number, including series code and serial number.

§ 1.825 Amendments to or replacement of sequence listing and computer readable copy thereof.

- (a) Any amendment to the paper copy of the "Sequence Listing" (§ 1.821(c)) must be made by the submission of substitute sheets. Amendments must be accompanied by a statement that indicates support for the amendment in the application, as filed, and a statement that the substitute sheets include no new matter. Such a statement must be a verified statement if made by a person not registered to practice before the Office.
- (b) Any amendment to the paper copy of the "Sequence Listing," in accordance with paragraph (a) of this section, must be accompanied by a substitute copy of the computer readable form (§ 1.821(e)) including all previously submitted data with the amendment incorporated therein, accompanied by a statement that the copy in computer readable form is the same as the substitute copy of the "Sequence Listing." Such a statement must be a verified statement if made by a person not registered to practice before the Office.
- (c) Any appropriate amendments to the "Sequence Listing" in a patent, e.g., by reason of reissue or certificate of correction, must comply with the requirements of paragraphs (a) and (b) of this section.
- (d) If, upon receipt, the computer readable form is found to be damaged or unreadable, applicant must provide, within such time as set by the Commissioner, a substitute copy of the data in computer readable form accompanied by a statement that the substitute data is identical to that. originally filed. Such a statement must be a verified statement if made by a person not registered to practice before the Office

Appendix A—Sample Sequence Listing (1) GENERAL INFORMATION:

- (i) APPLICANT: Doe, Joan X, Doe, John Q (ii) TITLE OF INVENTION: Isolation and Characterization of a Gene Encoding a Protease from Paramecium sp. (iii) NUMBER OF SEQUENCES: 2
- (iv) CORRESPONDENCE ADDRESS:
 - (A) ADDRESSEE: Smith and Jones (B) STREET: 123 Main Street
 - (C) CITY: Smalltown
 - (D) STATE: Anystate
 - (E) COUNTRY: USA
- (F) ZIP: 12345
- (v) COMPUTER READABLE FORM:
- (A) MEDIUM TYPE: Diskette, 3.50 inch, 800 Kb storage
- (B) COMPUTER: Apple Macintosh
- (C) OPERATING SYSTEM: Mcintosh 5.0 (D) SOFTWARE: MacWrite
- (vi) CURRENT APPLICATION DATA:
- (A) APPLICATION NUMBER: 09/999,999
- (B) FILING DATE: 28-FEB-1989
- (C) CLASSIFICATION: 999/99
- (vii) PRIOR APPLICATION DATA:
- (A) APPLICATION NUMBER: PCT/US88/
- (B) PILING DATE: 01-MAR-1988
- (viii) ATTORNEY/ACENT INFORMATION:
- (A) NAME: Smith, John A.
- (B) RECISTRATION NUMBER: 00001
- (C) REFERENCE/DOCKET NUMBER: 01-
- (ix) TELECOMMUNICATION INFORMATION:
 - (A) TELEPHONE: (909) 999-0001
 - (B) TELEFAX: (909) 999-0002
- (2) INFORMATION FOR SEQ ID NO: 1:
- (i) SEQUENCE CHARACTERISTICS:
 - (A) LENGTH: 954 base pairs
 - (B) TYPE: nucleic acid (C) STRANDEDNESS: single
 - (D) TOPOLOGY: linear
- (ii) MOLECULE TYPE: genomic DNA
- (iii) HYPOTHETICAL: yes
- (iv) ANTI-SENSE: no
- (vi) ORIGINAL SOURCE:
 - (A) ORGANISM: Paramecium sp
 - (C) INDIVIDUAL/ISOLATE: XYZ2
- (G) CELL TYPE: unicellular organism
- (vii) IMMEDIATE SOURCE:
 - (A) LIBRARY: genomic
- (B) CLONE: Para-XYZ2/38 (x) PUBLICATION INFORMATION:
- (A) AUTHORS: Doe, Joan X, Doe, John Q
- (B) TITLE: Isolation and Characterization of a Gene Encoding a Protease from Paramecium sp.
- (C) JOURNAL: Fictional Genes
- (D) VOLUME: I
- (E) ISSUE: 1
- (F) PACES: 1-20
- (G) DATE: 02-MAR-1988
- (K) RELEVANT RESIDUES IN SEQ ID NO:
- 1: FROM 1 TO 954 -

BILLING CODE 3510-16-M

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(xi) SEQUENCE DESCRIPTION: SEQ ID NO:1:

ATCGGGATAG TACTGGTCAA GACCGGTGGA CACCGGTTAA CCCCGGTTAA GTACCGGTTA	60
TAGGCCATTT CAGGCCAAAT GTGCCCAACT ACGCCAATTG TTTTGCCAAC GGCCAACGTT	120
ACGTTCGTAC GCACGTATGT ACCTAGGTAC TTACGGACGT GACTACGGAC ACTTCCGTAC	180
GTACGTACGT TTACGTACCC ATCCCAACGT AACCACAGTG TGGTCGCAGT GTCCCAGTGT	240
ACACAGACTG CCAGACATTC TTCACAGACA CCCC ATG ACA CCA CCT GAA CGT CTC Met Thr Pro Pro Glu Arg Leu -30	295
TTC CTC CCA AGG GTG TGT GGC ACC ACC CTA CAC CTC CTC CTT CTG GGG Phe Leu Pro Arg Val Cys Gly Thr Thr Leu His Leu Leu Leu Gly -25 -20 -15	343
CTG CTG CTG GTT CTG CTG CCT GGG GCC CAT GTGAGGCAGC AGGAGAATGG Leu Leu Val Leu Pro Gly Ala His -10 -5	393
GGTGGCTCAG CCAAACCTTG AGCCCTAGAG CCCCCCTCAA CTCTGTTCTC CTAG GGG Gly	450
CTC ATG CAT CTT GCC CAC AGC AAC CTC AAA CCT GCT GCT CAC CTC ATT Leu Met His Leu Ala His Ser Asn Leu Lys Pro Ala Ala His Leu Ile 1 5 15	498
GTAAACATCC ACCTGACCTC CCAGACATGT CCCCACCAGC TCTCCTCCTA CCCCTGCCTC	558
AGGAACCCAA GCATCCACCC CTCTCCCCCA ACTTCCCCCA CGCTAAAAAA AACAGAGGGA	618
GCCCACTCCT ATGCCTCCCC CTGCCATCCC CCAGGAACTC AGTTGTTCAG TGCCCACTTC	678
TAC CCC AGC AAG CAG AAC TCA CTG CTC TGG AGA GCA AAC ACG GAC CGT Tyr Pro Ser Lys Gln Asn Ser Leu Leu Trp Arg Ala Asn Thr Asp Arg 20 25 30	726
GCC TTC CTC CAG GAT GGT TTC TCC TTG AGC AAC AAT TCT CTC CTG GTC Ala Phe Leu Gln Asp Gly Phe Ser Leu Ser Asn Asn Ser Leu Leu Val 35	774
TAGAAAAAT AATTGATTTC AAGACCTTCT CCCCATTCTG CCTCCATTCT GACCATTTCA	834
GGGGTCGTCA CCACCTCTCC TTTGGCCATT CCAACAGCTC AAGTCTTCCC TGATCAAGTC	894
	954
BILLING CODE 3510-16-C	

18252

(2) INFORMATION FOR SEQ ID NO: 2:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 82 amino acids

(B) TYPE: amino acid

(D) TOPOLOGY: linear

(ii) MOLECULE TYPE: protein

(ix) FEATURE:

(A) NAME/KEY: signal sequence

(B) LOCATION: -34 to -1

(C) IDENTIFICATION METHOD: similarity (C) JOURNAL: Fictional Genes to other signal sequences, hydrophobic

(D) OTHER INFORMATION: expresses

protesse (x) PUBLICATION INFORMATION:

(A) AUTHORS: Doe, Joan X, Doe, John Q

(B) TITLE: Isolation and Characterization of a Gene Encoding a Protease from Paramecium sp.

(D) VOLUME: I

(E) ISSUE: 1

(F) PAGES: 1-20

(G) DATE: 02-MAR-1988

(K) RELEVANT RESIDUES IN SEQ ID NO:

2: FROM -34 TO 48

BILLING CODE 3510-18-M

(xi) SEQUENCE DESCRIPTION: SEQ ID NO:2:

Met Thr Pro Pro Glu Arg Leu Phe Leu Pro Arg Val Cys Gly Thr Thr
-30 -25 -20

Leu His Leu Leu Leu Leu Gly Leu Leu Leu Val Leu Leu Pro Gly Ala
-15
-10
-5

His Gly Leu Met His Leu Ala His Ser Asn Leu Lys Pro Ala Ala His 1 5 10

Leu Ile Tyr Pro Ser Lys Gln Asn Ser Leu Leu Trp Arg Ala Asn Thr 15 20 25 30

Asp Arg Ala Phe Leu Gln Asp Gly Phe Ser Leu Ser Asn Asn Ser Leu 35 40 45

Leu Val

BILLING CODE 3510-16-C

Notice of Availability

Applicant Aid for Biotechnology Computer Readable Form (CRF)
Sequence Listings Submissions

The Patent and Trademark Office (PTO) has developed a computer program, called Checker, that will aid applicants in identifying and correcting errors prior to making submissions for compliance with the Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequence Disclosures (sequence rules: 37 CFR 1.821 through 1.825). (Final rules were published in the Federal Register (55 FR 18230) on May 1, 1990, and in the PTO Official Gazette (1114 Off.Gaz.PatOffice 29) on May 15, 1990.)

Checker is a DOS-based software program that is intended to assist users in determining whether errors may be present in the sequence listings, and is not intended to guarantee that the submission is error-free.

The most current version of the software will be available via computer downloading (details below). Copies on diskette are also available. Updated software versions will not be automatically mailed out; any updates will be announced in the PTO Official Gazette.

The software can be accessed/requested in the following locations:

- Dial-up access to the Patent and Trademark Office Bulletin Board System. Phone number: 703-305-8950 Cost: Free-of-charge
- 2) Dial-up access through the Internet. FTP site: ftp.uspto.gov Login as "anonymous". Software is in directory /pub/checker Cost: Free-of-charge
- 3) For diskette copies, telephone requests to 703-306-2600. Cost: \$25.00

For Further Information Contact: Meredith Beckhardt at 703-308-4212.